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## Part A: research student declaration

You should complete **online** before printing and signing

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I understand that the metadata including the abstract of the thesis deposited in the institutional repository will be available immediately. According to my wishes below, the full text of the thesis and accompanying research data (where applicable) will be made available immediately after any stated embargo period and will be accessible to a wide variety of people and institutions – including automated agents – via the World Wide Web. I also agree and understand that any accompanying research data I deposit will be used by the University as set out in its [Research Data Management Policy](http://www.calendar.soton.ac.uk/sectionIV/research-data-management.html).

I understand that my thesis requires the copyright statement (shown in italics below), to be included in the electronic version submitted; that the thesis templates on the Library [website](http://library.soton.ac.uk/thesis/templates) include this statement and, prior to final submission, I should check that my thesis contains this statement. If not, I should add it before creating the final PDF (with PDF/A-3 required) version and place it in front of the title page (see guidance on the [Library website](http://library.soton.ac.uk/thesis/templates)).

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When referring to this thesis and any accompanying data, full bibliographic details must be given, e.g.

Thesis: Author (Year of Submission) “Full thesis title”, University of Southampton, name of the University Faculty or School or Department, PhD Thesis, pagination.

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## **Research Student Declaration**:

I understand that once the thesis and accompanying research data (if any) are deposited, a citation to both the thesis and research data will always remain visible. I agree as follows:

that I have the authority to make this agreement, and to hereby give the University of Southampton the right to make the thesis and research data (if any) available in the way described above;

that any errors or omissions in the content of the thesis and research data (if any) will be my responsibility as the author;

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that my research was not sponsored/part sponsored or carried out in collaboration with an industrial or other organisation or if it was then their written permission to publish the thesis and research data (if any) is attached to this permission;

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| --- | --- | --- | --- |
| **Print Name [full name]** |  | | |
| **Signature** |  | **Date** |  |
| **Thesis title** |  | | |

## Part B: preferred access to your thesis – your request

# To be completed by the Research student after discussion with their supervisor see [Permission to deposit thesis form - guidance](https://www.southampton.ac.uk/~assets/doc/quality-handbook/Permission%20to%20deposit%20thesis%20form%20-%20guidance.pdf).

Access to a thesis may be restricted through embargo where such restriction is regarded as desirable on commercial grounds, pending publications or patent applications, or as necessary to maintain confidentiality, national security, and the safety of those involved in the research. The period of restriction is determined by the Faculty Director of the Graduate School in their capacity as Chair of the Faculty Graduate School Subcommittee and the [Regulations for Research Degrees](https://www.southampton.ac.uk/about/governance/regulations-policies/research-students/general/regulations) should be consulted for details of maximum embargo periods.

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| --- | --- | --- | --- | --- | --- |
| 1. | **What access would you like to request for your thesis?** | | | | (tick ✓) |
|  | No embargo – thesis available immediately | | | |  |
|  | Embargo – commercial contract | | | |  |
|  | Embargo – patent pending | | | |  |
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|  | Embargo – third-party copyright | | | |  |
|  | Embargo – pending publications | | | |  |
|  | Embargo – safety of those involved in the research (including students, supervisors and participants) and national security | | | |  |
|  | Embargo – other | | | |  |
| 3. | **If applicable, please add dates for the embargo you are requesting** | | | | |
|  | Date from: |  | Date to: |  | |
| 4. | [To be completed for **all** embargo requests] **To enable an informed decision to be made on the embargo request, please provide sufficient relevant information and evidence to support your embargo request (e.g. information from the intended publisher which outlines their stance on prior publication of data in a research thesis; an extract of the collaboration agreement referring to publication of data)..** | | | | |
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| 5. | **Data already published**  List any data that has been published in support of articles, conference papers or posters etc. that are derived from your thesis research. Include DOIs, Handle or URI). | | | | |

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| --- | --- |
| **Research Student Declaration** | tick ✓) |
| I understand that my thesis will be made available at the end of the approved embargo period. |  |
| I understand that it is my responsibility to contact the University (via [eprints@soton.ac.uk](mailto:eprints@soton.ac.uk)) if I require an extension of this embargo. I understand that my supervisor may also request an extension to the embargo where protection if the research is still required and I will be informed if this has occurred. |  |

## Part C: preferred access to your research data – your request

The research student and their main supervisor(s) should complete this section when the underpinning data are deposited (see [guide](http://library.soton.ac.uk/thesis/data)).

If there is **no data** underpinning the thesis complete section 6.

Data embargoes are not subject to the requirements of the [Regulations for Research Degrees](https://www.southampton.ac.uk/about/governance/regulations-policies/research-students/general/regulations). Where data can be shared (open or on request) a DOI can be assigned, but will only be registered once the data is final. Confirmation from the supervisor, that the data can be shared and any embargo requested is appropriate, is required and should be emailed to [researchdata@soton.ac.uk](mailto:researchdata@soton.ac.uk).

Where data cannot be shared a DOI cannot be provided.

If there are more than one dataset that underpins the thesis sections 1-4 should be copied and completed for each dataset. For datasets already published complete section 5.

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| --- | --- | --- | --- | --- | --- | --- |
| 1a. | **Title of dataset(s) underpinning PhD thesis** | |  | | | |
| 1b. | **DOI** | |  | | | |
| 2. | **What access would you like to request for your research data** | | | | | (tick ✓) |
|  | Immediately available via the Institutional Repository | | | | |  |
|  | Made available after an embargo period | | | | |  |
|  | Data cannot be shared openly, but can be made available on request  *For example, significant risk of re-identification, participant information sheet stated sharing with bone fide researchers only* | | | | |  |
|  | Data cannot be shared – permanent embargo  *For example, commercial contract – do not own or have permission to re-share data* | | | | |  |
|  | Data cannot be shared openly, but can be made available on request  *For example, significant risk of re-identification, participant information sheet stated sharing with bone fide researchers only* | | | | |  |
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| 3. | **If applicable, please add dates for the embargo you are requesting** | | | | | |
|  | Date from: |  | | Date to: |  | |
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|  | Title | | | DOI/Handle/URI: | | |
|  |  | | |  | | |
| 6. | **There are no datasets underpinning this thesis for deposit (tick ✓). If applicable, please explain below.** | | | | |  |
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## Part D: Preferred access – academic signatories

(The main supervisor and, where appropriate, the Faculty Director of the Graduate School should compete this section, The research student should complete their name and title of thesis).

|  |  |
| --- | --- |
| **Student name [full name]** |  |
| **Thesis title** |  |

### Main supervisor’s declaration

I confirm the following:

There is no industrial or other individual/organisation whose permission is required prior to publication of this thesis and any supporting data on the institutional repository by virtue of their sponsoring or supporting the research, or

Where any industrial or other individual’s/organisation’s permission prior to publication is required then this has been obtained and a copy is attached to this permission.

The student’s preferred access for their thesis, research data (if any) and any embargoes requested are appropriate.

|  |  |  |  |
| --- | --- | --- | --- |
| **Main supervisor name** |  | | |
| **Signature** |  | **Date** |  |

### Faculty Director of the Graduate School’s declaration

I confirm the student’s preferred access for their thesis, research data (if any) are appropriate. Any embargoes requested are appropriate and that sufficient relevant information and evidence has been received and assessed.

|  |  |  |  |
| --- | --- | --- | --- |
| **Signature** |  | **Date** |  |
| **FDoGS’ name** |  | | |